#### NPRB GOA-IERP Summary Page

**Proposal Title:** Surviving the Gauntlet: A comparative study of the pelagic, demersal, and spatial linkages that determine groundfish recruitment and diversity in the Gulf of Alaska ecosystem

# **GOA-IERP Component: UTL**

Project Period: Start date: October 2010

End date: September 2014

#### Subaward Recipient(s):

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Franz Mueter, UAF School of Fisheries and Ocean Sciences, 17101 Point Lena Loop Road, Juneau, AK 99801, (907)-796-5448, <u>fmueter@alaska.edu</u>

Leslie Slater: Alaska Maritime National Wildlife Refuge, 95 Sterling Highway, Suite 1 MS 505, Homer, AK 99603, Leslie\_Slater@fws.gov

#### **Principal Investigators & Co-investigators:**

Lead PI: Jamal H. Moss, Alaska Fisheries Science Center, <u>jamal.moss@noaa.gov</u> PI #1 Kalei Shotwell, Alaska Fisheries Science Center, <u>kalei.shotwell@noaa.gov</u> PI#2: Franz Mueter, UAF School of Fisheries and Ocean Sciences, <u>franz.mueter@uaf.edu</u> PI#3: Shannon Atkinson, UAF School of Fisheries and Ocean Sciences, <u>atkinson@sofs.uaf.edu</u>

# **Summary of Proposed Work:**

The overall goal of our proposed research focuses on identifying and quantifying the major ecosystem processes that regulate recruitment strength of key groundfish species in the Gulf of Alaska (GOA). We concentrate on a functional group of five predatory fish species that are commercially important and account for most of the predatory fish biomass in the GOA. Taken together they encompass a range of life history strategies and geographic distributions that provide contrast to explore regional ecosystem processes. We focus on recruitment success because large swings in the abundance of these species have occurred despite precautionary fishing levels. Their early life begins with an offshore pelagic phase followed by a nearshore settlement phase. Spatial distribution, food preference, and habitat suitability of these two life history phases are poorly known. Fieldwork will define a critical environmental window for these five focal species by examining the gauntlet they endure while crossing from offshore spawning to nearshore settlement areas. We will contrast two regions: the central GOA with a broad shelf dominated by high oceanographic variability and large demersal fish biomass and the eastern GOA with a narrower shelf, lower demersal biomass, and higher species diversity. Retrospective data analysis combined with environmental covariates and multispecies stock assessment models will determine the relative influence of environmental parameters and identify processes influencing recruitment. Regional differences will be linked to dietary preference of top level predators to infer causal mechanisms for population trends and influence of climate change on ecosystem structure and diversity.

# Total Funding Requested From NPRB & Matching support:

I	Requested	Other Support
Alaska Fisheries Science Center	\$1,325,913	\$3, 575,675

Total:

\$1,325,913

\$3,575,675

Legally Binding Authorization Signature and Affiliation:

Signature: 11

30/10 6 Date:

William A. Karp, Ph.D. Deputy Dircetor for Science and Research Alaska Fisheries Science Center

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# Total Funding Requested From NPRB & Matching support:

University of Alaska	Requested \$743,379	<b>Other Support</b> \$0
Total:	\$743,379	\$0

# Legally Binding Authorization Signature and Affiliation:

Signature: 0-1101

Date: 06/30/10

Andrew Parkerson-Gray Director, Office of Sponsored Programs University of Alaska Fairbanks

#### NPRB GOA-IERP Summary Page

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# **GOA-IERP Component: UTL**

Project Period: Start date: October 2010

End date: September 2014

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#### **Principal Investigators & Co-investigators:**

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# Total Funding Requested from NPRB & Matching Support:

	Requested	Other Support
Alaska Maritime National Wildlife Refuge	\$ 55,415	\$ 151,415
Total:	\$ 55,415	\$ 151,415

Legally Binding Authorization Signature and Affiliation:

Signature: Steven J. Delehanty

8 July 2010 Date:\_\_

Refuge Manager Alaska Maritime National Wildlife Refuge

#### 1 **RESEARCH PLAN**

#### 2 A. Project Title 3

4 Surviving the Gauntlet: A comparative study of the pelagic, demersal, and spatial linkages that determine 5 groundfish recruitment and diversity in the Gulf of Alaska ecosystem 6 7

B. Proposal Summary

9 The overall goal of our proposed research focuses on identifying and quantifying the major ecosystem 10 processes that regulate recruitment strength of key groundfish species in the Gulf of Alaska (GOA). We 11 concentrate on a functional group of five predatory fish species that are commercially important and 12 account for most of the predatory fish biomass in the GOA. Taken together they encompass a range of life history strategies and geographic distributions that provide contrast to explore regional ecosystem 13 14 processes. We focus on recruitment success because large swings in the abundance of these species have 15 occurred despite precautionary fishing levels. Their early life begins with an offshore pelagic phase 16 followed by a nearshore settlement phase. Spatial distribution, food preference, and habitat suitability of 17 these two life history phases are poorly known. Fieldwork will define a critical environmental window for 18 these five focal species by examining the gauntlet they endure while crossing from offshore spawning to 19 nearshore settlement areas. We will contrast two regions: the central GOA with a broad shelf dominated 20 by high oceanographic variability and large demersal fish biomass and the eastern GOA with a narrower 21 shelf, lower demersal biomass, and higher species diversity. Retrospective data analysis combined with 22 environmental covariates and multispecies stock assessment models will determine the relative influence 23 of environmental parameters and identify processes influencing recruitment. Regional differences will be 24 linked to dietary preference of top level predators to infer causal mechanisms for population trends and 25 influence of climate change on ecosystem structure and diversity.

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8

27 C. Soundness of Project Design and Conceptual Approach. 28

#### 29 **Hypotheses and Objectives**

30 The highly complex and dynamic marine environment in the Gulf of Alaska (GOA) supports a 31 rich and diverse ecosystem, which exhibits strong gradients in population stability and species 32 composition over space and time (Mueter and Norcross 2002). The mechanisms underlying these 33 fluctuations are poorly understood but likely involve both top-down and bottom-up controls (Mundy 34 2005). We propose to improve our understanding of the variability in this ecosystem through regional 35 comparison of recruitment variability in five predatory fish species and examine the effects of this 36 variability on top level predators. We hypothesize that:

- 37 1) Early life survival of marine fish is influenced by climate driven variability in a biophysical gauntlet 38 described by offshore and nearshore productivity, larval and juvenile transport, and settlement into 39 suitable demersal habitat. The probability of survival is linked to health and condition as reflected in 40 instantaneous growth and consumption rates of fish travelling the gauntlet.
- 41 2) Environmental and biological variability are less pronounced in the eastern GOA than the central 42 GOA and the greater stability and higher species diversity in the eastern GOA make the region more 43 ecologically resilient to climate change and human forcing (Miller et al. 2005, Hughes et al. 2005).
- 44 3) Differences in survival of fish among years and areas results in fluctuations in available prey, which 45 directly affects the dietary preference and foraging strategy of top level predators such as seabirds 46 (Thaver et al. 2008).

47 To address these hypotheses, we propose to quantify variability in climate drivers, in distribution, 48

- abundance, and condition of key fish species at several stages during their early life, and in the diet of top 49 level predators in two regions of the GOA. By comparing the responses of upper trophic level variability
- 50 to climate forcing between these two contrasting systems, we will gain a better understanding of how

51 these systems may respond to future climate variability and how inherent differences in the structure of 52 these systems affect their resilience to such variability.

53 The overall goal of our proposed research focuses on understanding the major ecosystem 54 processes that regulate recruitment strength of key groundfish species in the GOA. Our first specific 55 objective is to quantify, by region, the temporal variability in potential climatic, oceanographic, or 56 biological drivers influencing the early life survival of key groundfish species. Differences between the 57 eastern and central GOA will be examined through retrospective analyses of available spatial datasets. 58 Second, we will determine by region the abundance, distribution, and condition of key groundfish species 59 during their offshore to nearshore pelagic phase through at-sea sampling with concurrent observations of 60 the biophysical environment (i.e. oceanography, prey, competitor, and predator fields). The lower trophic 61 level (LTL) and the middle trophic level (MTL) components will be responsible for generating the 62 concurrent observations of oceanography, prey, and competitor fields. The MTL will be responsible for 63 diet analysis of marine fish predators captured during the offshore to nearshore pelagic phase. Third, we 64 will create benthic habitat suitability maps by region through analysis of available substrate data (e.g. 65 depth, slope, grain-size) to characterize the nearshore demersal habitat. Fourth, we will develop growth 66 curves and consumption rates through laboratory work, which will parameterize simple bioenergetics 67 models that will estimate potential fish growth throughout their pelagic phase. Fifth, we will analyze 68 dietary preference and foraging behavior of seabirds and relate diet to prev availability. We will 69 coordinate with the bioenergetics component of the MTL to estimating total biomass removals by 70 seabirds. The modeling component will develop a biophysical model (e.g. Regional Ocean Model System 71 (ROMS) linked to a nutrient-phytoplankton-zooplankton (NPZ) model) that will generate hindcasts and 72 forecasts of LTL variability. Measurements from the laboratory and fieldwork, along with available 73 historical time series collected during the retrospective analysis, will be used to calibrate the biophysical 74 model. Predictions from this model will be used as covariates in multi-species models of fish population 75 dynamics to examine the effects of environmental and LTL variability on competition and predator-prev 76 interactions among key groundfish species. Covariates will be identified that best capture recruitment 77 variability based on retrospective analysis of environmental/LTL variability with single-species 78 recruitment, and then used in multi-species models to improve estimates of recruitment. Finally, we will 79 evaluate the consequences of potential management strategies by simulating multi-species dynamics 80 under different climate and fishing scenarios.

# 81

# 82 Background and Justification

83 Population dynamics of fish stocks are primarily governed by ecosystem processes such as 84 competition, predation, and environmental variability, by anthropogenic processes such as fishing 85 (Hollowed et al. 2000) and, increasingly, by climate change. Variability in stock assessment parameter 86 estimates of recruitment, natural mortality, growth, and catchability result from interactions among these 87 processes (Maunder and Watters 2003). Processes influencing recruitment are the dominant drivers of 88 stock size fluctuations because eggs, larvae, and young juveniles are subject to both bottom-up and top-89 down controls (Mundy 2005, Yatsu et al. 2008). Recruitment is generally defined as the abundance of the 90 youngest fish entering a population that can be estimated successfully (Myers 1998). In this study, we 91 focus on recruitment from the egg stage to young-of-the-year (YOY) fish, which is widely believed to be 92 a critical period for determining future stock size (Hjort 1914), especially in groundfish (Myers and 93 Cadigan 1993). We concentrate on identifying the processes influencing recruitment for key groundfish 94 species to (1) better understand the relative influences of climate change and fishing on future stock sizes 95 and to (2) more accurately predict future catch rates and population sizes by improving model parameter 96 estimates (Maunder and Watters 2003).

97 We focus on a functional grouping of the five top predatory groundfish species that are 98 commercially or ecologically valuable and represent different life history strategies in the GOA: 99 arrowtooth flounder (*Atheresthes stomias*), Pacific cod (*Gadus macrocephalus*), Pacific ocean perch 100 (*Sebastes alutus*), sablefish (*Anoplopoma fimbria*), and walleye pollock (*Theragra chalcogramma*). 101 Taken together, these species account for the vast majority of the predator biomass in the GOA and

102 include species of high commercial value (e.g. sablefish) and high trophic connectivity (e.g. arrowtooth 103 flounder). Because of these qualities, even small perturbations to these species could trigger system level 104 thresholds resulting in severe economic loss and structural changes to the ecosystem such as community 105 reorganization (Gaichas and Francis 2008). Additionally, the life history strategies of these species span a 106 wide range of opportunistic to selective foragers, mesopelagic to benthic adult habitat along the 107 continental shelf to slope, fast to slow growth rates, and a short to long lifespan. A variety of life history 108 strategies have evolved to tolerate various environmental conditions, and specific population response to 109 the same climate event may be different depending on the strategy (Yatsu et al. 2008). Understanding the 110 fluctuations of these five species in concert will allow identification of successful strategies given a 111 particular set of ecological conditions.

112 We will examine how ecosystem processes of competition, predation, and environmental 113 variability influence recruitment dynamics of the five selected groundfish species during their early life 114 history. Variability in recruitment results from fluctuations in spawning stock size (i.e. egg production) 115 and variability in egg-to-recruit survival. Recruitment estimates based on the most recent stock 116 assessments for these species are highly variable and appear unrelated to spawning biomass (Hanselman 117 et al. 2007, Turnock and Wilderbuer 2007, Dorn et al. 2008, Hanselman et al. 2008, and Thompson et al. 118 2008). The trajectory of recruitment also differs among species ranging from extremely episodic such as 119 with sablefish to oscillatory for pollock (Yatsu et al. 2008). Given the apparent lack of a spawner-recruit 120 relationship, and the variety of responses among species, it appears unlikely that the level of spawning has 121 a strong influence on recruitment variability over the range of observed abundances. While fishing on pre-122 recruit stages may also affect pre-recruit survival, and hence recruitment, large fluctuations in recruitment 123 and stock sizes in spite of precautionary fishing levels suggest that recruitment is driven primarily by 124 ecosystem processes. Therefore, we focus on environmental influences on recruitment levels during the 125 early life of these fish, rather than direct effects of fishing or the level of adult spawning biomass.

126 A recent analysis of the recruitment and survival indices of commercial fish stocks in the GOA 127 determined that environmental processes at regional scales (100s to 1000 km) are most important in 128 driving recruitment variability (Mueter et al. 2007). Therefore, we focus our study on comparing 129 ecosystem processes and their effects on recruitment in two regions of the GOA: the eastern and central 130 areas (Figure 1). We choose the eastern and central GOA as our two study regions because they represent 131 the upstream and downstream conditions of the dominant current systems in the GOA and high and low 132 demersal biomass and species diversity. Two major current systems dominate the GOA: the subarctic 133 gyre and the Alaska Coastal Current (Ladd et al. 2005). The eastward flowing North Pacific Current of 134 the subarctic gyre bifurcates into two broad eastern boundary currents offshore of British Columbia. The 135 north branch is the Alaska Current which narrows and intensifies near Prince William Sound to become 136 the western boundary current known as the Alaskan Stream (Weingartner et al. 2002, 2009). The Alaska 137 Coastal Current (ACC) is a narrow, wind- and buoyancy-driven current that flows in a counter-clockwise 138 direction along the continental shelf (Weingartner et al. 2002). It is mediated by downwelling-favorable 139 winds and freshwater runoff, which give the current a very strong seasonal signal (Ladd et al. 2005, 140 Weingartner et al. 2005). The eastern GOA therefore serves as a link between the ecosystems of British 141 Columbia and the northern GOA and may be critical in governing the future states of the GOA ecosystem 142 (Weingartner et al. 2009). The wider and more productive continental shelf in the central GOA leads to a 143 higher biomass of demersal fishes but relatively lower species diversity, whereas the narrow shelf of the 144 eastern GOA has a much higher species diversity and lower biomass (Mueter and Norcross 2002). High 145 species richness provides a buffer against the effects of climate variability and populations will be smaller 146 but more stable over time (Hughes et al. 2005).

147

# 148 **Proposed Research Activities**

We define the critical window for survival of our key groundfish species to be bounded by larval development in the offshore pelagic zone to early juvenile settlement in the nearshore zone. Survival during this period is dependent on a myriad of factors in the offshore pelagic environment related to cross-shelf transport, nutrients, productivity, and energy, which control the quantity, condition, and 153 location of these fish delivered to the nearshore. We hypothesize that successful recruitment for these 154 species depends primarily on three linked processes: offshore and nearshore productivity, larval and YOY 155 transport, and settlement into suitable demersal habitat. Our proposed research activities are designed to 156 collect data and perform surveys that will sample the continuum of the eastern through central GOA in 157 order to discover the causal mechanism for generating the two contrasting systems and determine the 158 location of the physical and biological shift. This information will aid in developing models to explain 159 recruitment variability of our five focal species and how these fluctuations affect top level predators. The 160 health of the GOA ecosystem may depend on the inherent structure and subsequent resiliency of each 161 region. In the next several sections (subheadings in italics) we describe the conceptual framework 162 (concept) and proposed activities (approach) for each of our major objectives. Requirements from each of 163 the integrated research components will be stated within each section. A responsibilities and linkages 164 section will follow to summarize the responsibilities of each component and demonstrate how each 165 objective informs the final products and deliverables of this proposal.

166

# 167 Retrospective Analysis

168 Concept: A thorough literature review and subsequent collection of available datasets provides 169 baseline information of the ecosystem processes throughout the GOA. By evaluating previous 170 methodologies, we can determine the best sampling approach and identify significant gaps in current 171 knowledge. If the review and datasets are organized by trophic level, this will add another level of utility 172 for establishing trophic links to our five focal species. Collating relevant information such as time of 173 spawning, development, growth, recruitment histories, and habitat preferences for our five focal species 174 and other linked species will refine later model development and simulation testing. Additionally, 175 categorizing datasets by direct and indirect pressures on our five focal species will help identify large 176 versus small scale mechanisms that influence recruitment strength. For example, physical variables such 177 as sea surface temperature will directly impact the suitability of the pelagic environment for our focal 178 species on the scale of large water masses. A warm or cool regime may favor different life history 179 strategies. On the other hand, fluctuations in the abundance of top level predators will indirectly influence 180 our focal species in complicated trophic interactions. Steller sea lion (Eumetopias jubatus) abundance has 181 declined dramatically in the central and western GOA since the 1960s (Trites and Larkin 1996), while 182 abundance has increased about 3% per year in the eastern GOA (Pitcher et al. 2007). One theory for the 183 decline is nutritional stress where an inadequate quantity or quality of prey will lead to lower juvenile 184 survival, episodic adult mortality, and reduced fecundity (Calkins et al. 1999, Atkinson et al. 2008). Many 185 studies have found that groundfish serve as the staple of the Steller sea lion diet, with pollock often 186 appearing most frequently (Trites et al. 2007, Womble et al. 2009). Strong seasonal and spatial variability 187 of their energy-rich prey such as herring, Clupea pallasii, will lead to changes in the sea lion diet and 188 foraging strategy (Womble et al. 2009) and, therefore, fluctuations in competitor biomass for several of 189 our focal species. These indirect effects likely occur in localized areas and are difficult to quantify but 190 may lead to the development of new hypotheses and sampling procedures for testing these complex 191 trophic relationships.

192 Often the exploratory phase of variable selection includes a myriad of potential environmental 193 predictors that increases the chance for development of spurious relationships. Developing hypotheses 194 suited to the ecological plausibility for a given species will aid in reducing this possibility (Shotwell et al. 195 2005). Incorporating the spatial component into the retrospective analysis allows for identification of 196 long-term regional trends and analysis of the spatial overlap between different datasets. This will help 197 refine causal relationships between the various trophic levels and the recruitment strength of our focal 198 species. Once mean conditions are established, representative datasets for each trophic level should be 199 selected that influence each of the focal species based on direct and indirect effects. Temporal analysis of 200 these datasets will explore the influence of regimes shifts on recruitment strength of the focal species and 201 prepare the data for potential future use within single or multi-species assessment models.

Approach: We will perform a literature review of relevant life history information for the five focal groundfish species. Available datasets will be collected that relate to this review and represent 204 potential drivers influencing the early life survival of the five focal species. We will consider all 205 resolution levels of biological and physical indices from the high resolution satellite measurements to 206 large scale surveys of fish, seabirds, and marine mammals. Examples of datasets are listed in Table 1 and 207 organized by trophic level category. An initial examination of the dataset will determine whether the data 208 represent direct or indirect pressures on recruitment. Following the literature review and data 209 categorization, we will compile available data and coordinate with the data manager to organize this 210 information within a relational database. Scientists in the LTL, MTL, and modeling component will have 211 access to this database for developing sampling methodologies and testing hypotheses. A few of these 212 datasets may require more development of historical information, such as recalculating biomass estimates 213 at finer scales of resolution.

214 Following data collection and any subsequent re-estimation or laboratory analysis, we will 215 develop spatial maps of mean conditions for several representative datasets by trophic category to identify 216 long-term patterns and delineate a faunal or physical break between the eastern and central GOA. Once 217 regions are identified, we will perform a time series analysis to determine the datasets most related to the 218 recruitment estimates of our five focal groundfish species. These datasets will serve as potential predictor 219 variables in a generalized additive model framework to develop an index of recruitment for each of the 220 five focal species. Temporal trends will be compared by region and species to identify successful life 221 history strategies for alternating climate regimes. These retrospective tasks are listed in Table 1 following 222 the example datasets. This spatial and temporal analysis will serve to prepare datasets for later use by the 223 modeling component for testing effects of climate variability on groundfish recruitment and trophic 224 interactions up through top level marine predators. 225

# 226 *Offshore to Nearshore Pelagic Stage*

227 Concepts: We define two stages of early life history for the five selected groundfish species that 228 are critical for determining recruitment strength: the offshore to nearshore pelagic stage and the nearshore 229 settlement stage. From late winter through early spring, spawning and egg incubation take place at depth 230 and larvae swim to the surface. The offshore to nearshore pelagic stage is the time from spawning in late 231 winter, early spring through arrival at the nearshore of YOY in mid-summer to late fall. Location of 232 spawning and egg incubation occurs as far offshore as 160 km along the upper slope in 400+ meters water 233 depth for sablefish (Wing 1997) to as far inshore as the inner shelf in 50 meters water depth for some 234 Pacific cod (Klovach et al. 1995). We use the 160 km distance from shore as a guideline for the extent of 235 the offshore pelagic stage. Spawning for these five species may occur from early winter through summer; 236 however, peak spawning is likely within the late winter to early spring (Sigler et al. 2001, Blood et al. 237 2007). Several of these species are observed in shallow coastal bays just before and after settlement (e.g. 238 Abookire et al. 2007). We use the entrance of these coastal bays as our guideline for the nearshore extent 239 of the pelagic stage. Timing and location of settlement is largely unknown for these species and likely 240 includes a range of depths given the different habitat requirements. Generally, settlement should be before 241 the first overwinter period, although some species may perform vertical migrations throughout their 242 nearshore existence (Sogard and Olla 2001). Juveniles of these species may overwinter in the nearshore 243 for one to several years before they begin offshore movement into adult habitat (Carlson and Straty 1981, 244 Rutecki and Varosi 1997). Upon arrival, both the pelagic and demersal nearshore habitats influence the 245 ability to feed, avoid predators, and compete with other species.

246 The physical structure, transport processes, and biology of the Northeast Pacific Ocean respond 247 strongly to forcing at several time and space scales that can result in large interannual changes for both 248 coastal and offshore regions of North America (Batcheldor 2002). These fluctuations affect the 249 abundance, distribution, and condition of our five focal groundfish species. Cross-shelf transport is 250 influenced by several physical mechanisms including mesoscale eddies, episodic upwelling, freshwater 251 runoff, tidal mixing, and complex bottom topography (Weingartner et al. 2002, Ladd et al. 2005, Bailey et 252 al. 2008), many of which have seasonal signatures. These factors also impact the stability of the water 253 column influencing the timing and size of spring blooms and therefore the prey field. Late winter to early 254 spring oceanographic conditions influence the temporal and spatial scale of these features that manifest in

the summer and fall (Weingartner et al. 2009). Zooplankton and forage fish respond to these seasonal and interannual fluctuations in prevailing oceanographic conditions (Coyle and Pinchuk 2005, Conners and Guttormsen 2005). Various species of seabirds and marine mammals rely upon the persistence of forage aggregations or "hotspots" (Gende and Sigler 2006) which have been associated with seasonally fluctuating oceanographic features (e.g. fronts, upwelling zones) that also respond to climate change (Anderson and Piatt 1999, Miller et al. 2005).

261 In order to understand the influences on recruitment during the offshore to nearshore pelagic 262 stage we must sample this environment during the critical window of survival for the five groundfish 263 species and include a sampled area large enough to test regional differences. Previous surveys have been 264 successful in capturing egg, larvae, and YOY of the five groundfish species on which our proposal is 265 focused; however, sampling has been periodic with little concurrent information on the biophysical 266 environment in which these fish were captured. The Fisheries-Oceanography Coordinated Investigations 267 (FOCI) program has successfully sampled larvae from the central and western GOA since the early 1970s 268 using bongo nets and Tucker trawls that are towed vertically through the water column. Sampling 269 primarily occurred during April and May and surveys commonly captured larvae of all key species, 270 although rockfish (Sebastes spp.) were not identified to species (Matarese et al. 2003). The U.S. Global 271 Ocean Ecosystem Dynamics (GLOBEC) program sampled the GOA from Yakutat to southwest Kodiak 272 Island during summer using a surface trawl identical to our proposed surface trawl. YOY of the five target 273 species were captured with the exception of arrowtooth flounder. The Southeast Alaska Coastal 274 Monitoring (SECM) program has conducted surface trawling at four coastal stations off Icy Point in 275 southeast Alaska (7 to 65 km offshore) from spring to fall during 1997-2001. The Marine Ecology and 276 Stock Assessment (MESA) program conducted surveys in May 1990 (Wing 1995) and August 2005 277 (warm) and 2006 (cold) to investigate ichthyoplankton distribution in the eastern GOA. As part of a 278 voluntary logbook program in southeastern Alaska, commercial salmon trollers identified prey in 279 stomachs of chinook and coho salmon from 1977-1991 along the outer coast of Alaska from Dixon 280 Entrance to Yakutat. Pollock YOY were common prey items during July, August, and September and 281 sablefish YOY were common prey during September (Wing 1985). Small mesh trawl surveys for shrimp 282 and forage fish around Kodiak Island have been conducted by the Alaska Fisheries Science Center 283 (AFSC) and the Alaska Department of Fish and Game (ADFG) since 1953. Catch is dominated by 284 shrimp, Pacific cod, pollock, and flatfish, including arrowtooth flounder, and shorter time series of 285 rockfishes and sablefish are available (Anderson and Piatt 1999).

286 Approach: We propose a comprehensive pelagic sampling plan to survey Cape Ommaney to the 287 far western edge of Kodiak Island during three seasons: spring, summer, and fall during 2011 and 2013 to 288 adequately characterize the abundance, distribution, and condition of the five groundfish species in the 289 eastern to central GOA. Timeline for sampling procedures is depicted in Table 2. A dedicated spring 290 survey is required from the lower trophic level (LTL) component to obtain concurrent measurements of 291 temperature and salinity profiles, nutrient levels, primary productivity, and zooplankton taxonomic 292 composition. Additionally, sampling for larvae during this survey following the methods described in 293 Matarese et al. (2003) would identify the initial spatial distribution (time zero) of this stage for each 294 groundfish species. Ichthyoplankton samples of the five focal species should be identified and preserved 295 in 95% non-denatured ETOH for later analyses. For the summer and fall surveys, the lower, middle, and 296 upper trophic level (LTL, MTL, and UTL, respectively) components will collect concurrent observations 297 of the biophysical environment (i.e. oceanography, prey, competitor, and fish predator fields). 298 Cooperation between field components will be essential for meeting survey objectives.

We propose a systematic sampling design (Figure 1) to generate station locations for the summer and fall surveys. With very little information on the offshore to nearshore pelagic distribution of the five focal species, a systematic grid allows for the fewest assumptions on population structure. The evenly spread stations create the continuum from the eastern to central GOA allowing for sampling in both the cross-shelf and along-shelf direction and to aid in identifying the biophysical break between regions. Disadvantages of this systematic design include the inability to calculate an unbiased estimate of variance and the potential bias in density estimates due to clustered populations (Cochran 1977). In general,

306 surveys are often restricted to one systematic sample due to time and vessel limitations. However, the 307 objective of the integrated approach is to compare between regions, seasons, and two years across 308 multiple trophic levels. The systematic fixed station design will allow for direct comparison of relative 309 densities between years and while unbiased estimates of variance are unavailable, relative variance 310 between areas and years can be compared with post-stratified estimates, simple random sampling 311 estimates, and bootstrapping (Efron and Tibshirani 1993). Additionally, since the survey is targeting 312 multiple species and environmental covariates, a design that provides the maximum spatial coverage is 313 preferred to a design that makes assumptions about components with which we have relatively little prior 314 knowledge. Using traditional sampling methods for estimating biomass such as stratified-random 315 sampling would involve tradeoffs between objectives, and make modeling of spatial dynamics difficult.

316 The study area extends from the previously defined range of offshore to nearshore based on the 317 biology of the focal species and Cape Ommaney to western Kodiak. Station spacing is initially 318 determined by the minimum distance required to sample the area while maintaining transit and field work 319 efficiency. The current grid spacing is 28 nautical miles resulting in a total of 100 stations within the 320 study area. This distance is below the minimum required for identifying both major current systems and 321 mesoscale features (e.g. shelf break fronts, eddies, freshwater plumes) based on results from previous 322 oceanographic surveys in the GOA (L. Eisner AFSC pers. comm.). Offshore extent, minimum grid 323 spacing, and total number of stations will be refined with data from a southeast Alaska pilot summer 324 survey in 2010. For each station, the LTL will be responsible for the physical and biological 325 oceanographic measurements. Products will include vertical and horizontal measurements of temperature, 326 salinity, depth (CTD profiles, surface thermosalinograph), phytoplankton biomass and size structure 327 (fluorescence, discrete water samples), and zooplankton abundance, biomass, and community structure 328 (Multi-Net). This data will be used to determine location of oceanic fronts, mixed layer depths, and 329 primary and secondary production at each grid station. The UTL will conduct surface trawling at each 330 station using methods similar to those of the GLOBEC (GOA) and to the Bering-Aleutians Salmon 331 International Survey (BASIS) programs, which are also used in the Bering Sea Integrated Ecosystem 332 Research Program (BSIERP). A subsample of YOY of the five focal species captured in the surface 333 trawls will be frozen at -20 C for transport to the laboratory for later analyses. Additional surface trawls 334 will be made with a live-box to capture live fish for laboratory health assessments. The UTL will be 335 responsible for estimating abundance, distribution, and condition of YOY groundfish. The MTL will be 336 responsible for estimating abundance, distribution, and condition of the forage base that is also captured 337 in the surface trawls. The MTL will also be responsible for diet analysis of relevant trawl caught species, 338 including YOY groundfish, forage fish, and large predatory fish. Sampling during summer and fall will 339 reveal the dynamic conditions (stratified vs. mixed) of the offshore and nearshore pelagic zone. Using 340 previously tested methodologies will allow for easy comparison between other large marine ecosystems 341 such as the Bering Sea, and be compatible with past sampling efforts in the GOA.

# 343 *Nearshore Settlement*

342

344 Concept: Upon arrival in the nearshore YOY fish must acquire prey, avoid predation, and settle to 345 suitable benthic habitat in order to recruit successfully. Habitat selection by YOY fish is species specific 346 and is related to dietary requirements and predator avoidance (Sogard and Olla 1993, Stoner and Titgen 347 2003). For example, biogenic structure such as corals provides shelter from predators and attraction of 348 prey; however, this structure may provide an advantage or disadvantage to competing species depending 349 on their cryptic coloration. The availability of suitable habitat, as determined by substrate type, infauna, 350 and epifauna along with oceanographic conditions, play a strong role in determining the success of YOY 351 fish settlement (Carlson and Straty 1981, Abookire et. al. 2007).

Approach: Available information on habitat preferences will be combined with available substrate data (e.g. bathymetry, substrate samples, as described below) to create benthic habitat suitability maps by region and fish species. We will model habitat suitability as a function of known species preferences and habitat distribution and will incorporate information on the nearshore prey, competitor, 356 and predator fields to the extent we can quantify these distributions for different regions. This will 357 ultimately determine probability of successful recruitment in the nearshore settlement stage.

358 Benthic habitat data exists at varying resolutions from detailed bottom mapping and actual 359 bottom observations (e.g. Shotwell et al. 2008) to general low resolution bathymetry and regional 360 sediment distribution paper maps (e.g. Carlson et al. 1977). Three main types of bathymetric data exist in 361 the GOA: low resolution remotely sensed data of broad regional extent, high-resolution multibeam 362 bathymetry of limited areal extent, and National Ocean Service (NOS) point data of variable resolution. 363 Scientists at the AFSC are currently constructing a highly detailed bathymetric map of the GOA seafloor 364 so that seafloor measures (e.g. topographic roughness) can be analyzed (M. Zimmermann AFSC pers. 365 comm.). Since 2000, the US Geological Survey (USGS) and its collaborators have compiled seabed data from existing reports and datasets into usSEABED, which is a nation-wide integrated seafloor 366 367 characterization database (Reid et al. 2006). Preliminary analysis of these data in central GOA shows 368 muddy sediment in bathymetric lows such as Shelikof Strait with coarser sediment (to gravel) on 369 bathymetric highs such as Albatross Banks. These data will be used to create continuous gridded surfaces 370 of sediment and rock distributions. In combination, the highly detailed bathymetry with sediment 371 distribution grids should provide the first regional contextual three-dimensional observations of sediment 372 distributions in the GOA (e.g. Figure 2). This information will provide a knowledgeable, scalable, basis 373 for YOY habitat suitability analysis.

We will utilize these newly created bathymetry and sediment distribution grids and associated seafloor measures to predict the distribution of suitable benthic habitat for the five groundfish species. Habitat suitability will depend on the groundfish species and will be obtained from the literature (e.g. Freese and Wing 2003, Abookire et al. 2007). Available information will be used to quantify habitat preferences for each species and habitat type on an ordinal scale (e.g. good, adequate, poor) or on a continuous scale (habitat suitability index), depending on data availability. We will refine our estimates of suitability as further literature, field observations, and laboratory based studies become available.

381 The distribution of potential prey, predators, and competitors will be an additional factor that 382 should be considered in determining habitat suitability and will be evaluated by the upper trophic level. 383 Some measure of the nearshore prey, competitor, and predator distributions will be available from the 384 nearshore grid stations of the pelagic stage sampling grid described above. However, this is unlikely to 385 provide sufficient coverage of these distributions. The middle trophic level (MTL) component is 386 requested to select representative habitats of the nearshore to augment the information from the pelagic 387 grid stations. Information from existing small mesh surveys in both the eastern and central GOA (e.g. 388 AFSC/ADFG small mesh survey, Southeast Alaska humpback whale survey) and the afore-mentioned 389 sediment distribution maps may be useful for choosing these sampling areas. Data collected from these 390 representative habitats will be used to generate presence/absence information on the distribution of 391 marine fish species and top level predators by habitat in these nearshore bays. This information along 392 with estimates of total available habitat from the bathymetry and sediment distribution maps described 393 above will inform the habitat suitablity model. Estimates of the prey and competitor fields are also 394 available from the diet of planktivorous and piscivorous seabirds (respectively) with short foraging 395 distance (as described below in the Top Predator stage). Predation estimates will be derived from biomass 396 estimates of predatory fish from nearshore stations of existing surveys (e.g. NMFS trawl survey, IPHC 397 survey) and seabird/marine mammal bioenergetics models of biomass removals (as described below in 398 the Top Predator stage and detailed in the MTL proposal). The end product will be the predicted ability of 399 YOY to feed, compete, and avoid predation based on the estimated availability of suitable demersal 400 habitat.

- 401
- 402 Health Assessment

403 Concept: Instantaneous growth, condition and consumption rates of fish passing through the 404 gauntlet will served as an indirect measure of health that may be linked to probability of early life 405 survival. Survival of YOY marine fish over the first year of life is related to growth (Mazur et al. 2007) 406 and energetic condition (Moss et al. 2009). We will examine seasonal, spatial, and interannual conditions for supporting the growth of Pacific cod, Pacific ocean perch, sablefish, and pollock YOY in three different habitats (slope, shelf, and nearshore). The amount of energy available in each habitat will be quantified along with growth (based on fieldwork and laboratory experiments). The conditions will be simulated in bioenergetic models to assess performance in different habitats. Arrowtooth flounder will not be included because previous surveys indicate they rely on different marine habitats, since they are not caught in pelagic habitat by surface trawls.

413 We will use simple bioenergetic models to estimate potential fish growth in different habitats and 414 model outputs will be compared with field observations of fish abundance and distribution. The effect of 415 temperature and body size on consumption and metabolism are the most sensitive parameters in 416 bioenergetics models (Beauchamp et al. 1989). These parameters will be estimated from a series of 417 laboratory experiments involving Pacific ocean perch. Models for sablefish already exist (Sullivan and 418 Smith 1982; Furnell 1987; Ryer and Olla 1997; Sogard and Olla 2001). A similar analysis is currently 419 being performed for pollock and Pacific cod as part of the BSIERP. These parameters will be combined 420 with data on food habits and temperatures collected at sea in order to predict growth trajectories in 421 different habitats. Bioenergetics model simulations will be realized for each species, region, and habitat. 422 Comparisons of model output with observed abundances and distribution will be used to explore observed 423 recruitment variability.

424 Approach: Bioenergetic parameters requiring measurement include resting and active (various 425 swimming speeds) metabolic rates and consumption rates. Metabolic rates will be determined by 426 measuring oxygen consumption using a respirometer. Consumption rates will be determined by feeding 427 fish commercial fish food pellets to satiation. The difference between the mass offered and mass not eaten 428 will be the mass consumed. Feeding will occur over a 24 h period, permitting expression of consumption 429 as g per day. These model parameters will be developed over a range of thermal conditions and body 430 sizes. Estimation of growth potential also requires evaluation of prey quality and consumption. Prey 431 energy content will be estimated from either bomb calorimetric methods or proximate analysis of prey 432 items. Habitat-specific food habits of juvenile groundfish will be evaluated by stomach content analysis. 433 This analysis will be performed for each habitat in both sampling locations (eastern and central GOA).

434 An independent assessment of growth potential will be conducted by relating field observations 435 of RNA/DNA ratios to laboratory derived relationships between growth, temperature and RNA/DNA 436 ratio. Models for Pacific ocean perch and sablefish will be constructed from the same series of laboratory 437 experiments used to develop bioenergetic parameters. These models will be used to translate RNA/DNA 438 ratios of fish captured at sea to instaneous growth (Lankin et al. 2008). Research efforts to develop similar 439 models for Pacific cod and walleye pollock are currently underway at the AFSC. Growth estimates 440 acquired over multiple habitats in the eastern and central GOA will be used to test the hypothesis that 441 nearshore habitat is most beneficial for juvenile groundfish relative to other offshore habitats. Comparison 442 of these results with the outputs from bioenergetic models will provide a method for gauging the 443 uncertainty of our conclusions.

444

# 445 Top Predator Stage

446 Concept: Various species of seabirds and marine mammals have been associated with particular 447 oceanographic features (e.g. fronts, eddies, upwelling zones) and prey aggregations (Piatt et al. 2007, 448 Witteveen et al. 2008). These hotspots are considered sites of critical ecosystem linkages between trophic 449 levels and are often affiliated with bathymetric structures such as canyons, banks, and coastal topography 450 (Sydeman et al. 2006). There exists considerable temporal variability of these hotspots (Yen et al. 2005), 451 which will have a large effect on the energy requirements for central place foragers (use of marine 452 environment for forage and terrestrial sites for rest and care of young) such as seabirds (Piatt et al. 2006). 453 Seabirds expend considerable energy in flight and diving under water for food (Bryant and Furness 1995). 454 Rhinoceros auklets typically dive to about 30 m where conditions force sandlance and other small 455 schooling fishes to the surface (Gaston and Dechesne 1996). Surface-feeding storm-petrels can spend 456 relatively more time searching for patchily distributed food, and compared to auklets, travel farther from 457 the colony. Both species provide a comparison for conditions in shelf break regions. Steller sea lions will

change foraging behavior in response to seasonal distributions of high-energy prey (Womble and Sigler
2006) and alter dive activity in response to oceanographic features such as chlorophyll *a* concentrations
(Fadely et al. 2005).

461 A growing body of evidence supports the use of seabirds as indicators of ecosystem health 462 (NPRB 2006), and several recent studies have used different seabird species to determine relative 463 abundance, composition, and condition of fish in the GOA (Piatt et al. 2007, Thayer et al. 2008). Prey 464 reductions near breeding colonies may influence seabirds' foraging distance (Ainley et al. 2003) and 465 seabirds may vary their foraging behavior according to oceanographic productivity patterns across their 466 breeding ranges (Boersma et al. 2009). Oceanographic conditions can affect chick growth and 467 reproductive success via frequency, size, and composition of prey fed to chicks. Success is variable 468 among years because of climate perturbations, changes in prey availability, and other factors (Boersma 469 2008). It may be that trends in foraging distribution and effort are linked to fluctuations in groundfish 470 recruitment and the forage base; and detailed information on where these seabirds feed will help better 471 understand linkages between physical oceanic conditions, and predator-prey responses. Diet of top level 472 predators such as seabirds is affected by variability in their prey abundance (Thayer et al. 2008), and we hypothesize that dietary preference and foraging strategy of these top level predators is directly related to 473 474 relative abundance of suitable prey, including groundfish, in the nearshore. Factors influencing 475 recruitment of our focal groundfish species will affect composition of available prey for these predators 476 and will be reflected in seabird population differences between the eastern and central GOA. We propose 477 to compare dietary preference and foraging strategy of seabirds in the eastern and central GOA study 478 regions to assess dependence of these top level predators on recruitment strength of our key groundfish 479 species.

480 Seabirds are thought to consume the juveniles of most commercial species (Boldt 2004). Thayer 481 et al. (2008) discusses the diets of piscivorous seabirds at two major colonies in the GOA. Sablefish and 482 rockfish are included in the top species of prey for juvenile predatory fishes in the GOA, and it is 483 estimated that between fifteen to eighty percent of the biomass of juvenile forage fish may be removed by 484 birds each year near breeding colonies (Wiens and Scott 1975, Furness 1978, Springer et al. 1986, 485 Logerwell and Hargreaves 1997). There are an estimated 7.2 million breeding pairs of seabirds in the 486 GOA (Stephensen and Irons 2003), and an additional 30 million birds from five main species arrive in the 487 summer for all of Alaska (Boldt 2004). Considering the lower estimate of 7.2 million pairs (14.4 million 488 adult birds), and applying it to a worldwide ratio of seabird consumption (Brooke 2004), the result is an 489 estimate of 914,000 metric tons of fish taken by seabirds in the GOA each year.

490 Approach: Datasets collected during the retrospective analysis (describe above) will serve to 491 generate an estimate of total predation pressure during the offshore to nearshore stages. Predation 492 estimates of adult predatory fish for the nearshore during late summer will be derived from biomass 493 estimates from all nearshore stations of existing surveys (e.g. 2011 and 2013 NMFS trawl survey). 494 Biomass removals from seabirds and other marine mammals will be estimated by bioenergetics models as 495 detailed in the MTL proposal. We will collect information on seabirds and marine mammals during the 496 summer and fall offshore to nearshore pelagic surveys as an estimate of the predator field on early pelagic 497 stages of the five groundfish species. A trained seabird and marine mammal scientist will conduct 498 standard visual line-transect surveys for marine mammals (Moore et al. 2002) and standard visual strip-499 transect surveys for seabirds to estimate top predator density at each grid station (Gould and Forsell 500 1989).

501 Data on seabird productivity, diet composition, and chick growth have been collected at St. 502 Lazaria Island annually since 1994 and at East Amatuli Island intermittently since 1993 (Figure 1). 503 Recent seabird studies focused on St. Lazaria Island populations suggest that interannual changes in 504 ocean temperature and food web restructuring have altered the timing of nesting and reproductive success 505 (Slater and Byrd 2009). Seabirds breeding at St. Lazaria for which long-term data sets exist are rhinoceros 506 auklets (Cerorhinca monocerata, piscivores which feed in coastal waters) and fork-tailed and Leach's 507 storm-petrels (Oceanodroma furcata and O. leucorhoa, primarily planktivores which feed offshore and 508 along the shelf break). Long-term data collected at East Amatuli Island exist at various temporal 509 resolutions for black-legged kittiwakes (*Rissa tridactyla*), common murre (*Uria aalge*), and tufted puffins 510 (*Fratercula cirrhata*).

511 We will continue the diet collections on St. Lazaria and East Amatuli Islands during summer of 512 2011 and 2013 which will correspond to the summer pelagic grid survey. Additionally, we will equip 513 rhinoceros auklets with platform terminal transmitter (PTT) tags to evaluate feeding patterns during the 514 chick-rearing period. Lightweight, miniaturized, improved electronic devices will allow us a greater 515 ability to quantify time-budgets of burrow-nesting seabirds, investigate relationships between foraging 516 habitats and environmental features, and quantify overlap with commercial fisheries (e.g. Weimerskirch 517 et al. 1997, Catard et al. 2000). Receivers within the colony will record the birds' presence on land which, 518 throughout the study, will allow us to evaluate foraging effort across years based on frequency and 519 duration of chick-feeding bouts. Tags will also record feeding locations which may allow an assessment 520 of interactions with prey when compared to the summer grid surveys. Concurrently, auklet chicks will be 521 measured to determine annual growth rates, and as in past years, diet samples will be collected to evaluate 522 the relative abundance and importance of individual prey species in auklet diets. 523

524 Ecosystem Modeling

525 Concept: We propose that the modeling component of this Integrated Ecosystem Research 526 Program (IERP) further develop existing biophysical models of the region (e.g. Coyle et al. in press) to 527 develop indices of lower trophic level (LTL) variability, which can be used as explanatory variables to 528 inform multi-species models of upper trophic level (UTL) variability. The biophysical model should 529 consist of a hydrodynamic model (e.g. Regional Ocean Model System or ROMS) linked to a nutrient-530 phytoplankton-zooplankton (NPZ) model, which can generate hindcasts and forecasts of LTL variability. 531 In addition, we ask that transport models (for example individual based models (IBM) linked to a 532 biophysical model) be developed to construct indices of recruitment based on transport to suitable nursery 533 areas for two representative species included in the UTL model. We suggest Pacific ocean perch and 534 sablefish as the best candidates for the two representative species and provide justification below. Field-535 based estimates of spring to fall spatial distributions of Pacific ocean perch and sablefish can be used to 536 tune the model, and to predict the spatial locations where each species will eventually settle onto demersal 537 habitat in the two regions. Recruitment success depends on encountering the right habitat at the right time 538 (or within a critical time period) and requires successful transport of pre-settlement stages to suitable 539 demersal habitat.

540 The biophysical model will provide indices of LTL variability that can be used in retrospective 541 studies to better understand recruitment variability. Critical indices identified in the biophysical model 542 may also be used as covariates in single-species or multi-species stock assessment models to provide 543 improved estimates of recruitment variability and as indicators of potential future climate variability in 544 simulation studies. Results from retrospective analyses, from previous studies such as NEP GLOBEC, 545 and from the proposed fieldwork of this IERP can be used to help parameterize the biophysical model. 546 The development of such a model for the GOA can also be informed by the development of a similar 547 model for the eastern Bering Sea shelf under the BSIERP. We do not propose the development of a full, 548 vertically integrated modeling approach because we believe that the spatial dynamics in the GOA are too 549 complex and because available and newly collected data will be insufficient to support such a modeling 550 effort under this IERP.

551 Approach: The transport models (IBM or similar) will provide indices of recruitment success 552 related to the transport of eggs and larvae from offshore spawning locations to inshore settlement areas. 553 Due to the potential high model complexity, we suggest two candidate species for constructing such 554 models, sablefish and Pacific ocean perch, who, among our five focal species, exhibit extreme life history 555 strategies with respect to dispersal. Pacific ocean perch are viviparous, providing more maternal care and 556 releasing more active larvae into the pelagic environment. Several genetic studies have demonstrated low 557 dispersal rates for this species, despite the potential for high dispersal due to the exposure to strong 558 oceanographic currents (Johansson et al. 2008, Palof 2008). This suggests local stock structure which may 559 result from a life history strategy that utilizes distinct transport pathways. An example of this type of 560 strategy has been suggested for arrowtooth flounder that may utilize tidal transport to nearshore nursery 561 areas through deep water canyons and sea valleys (Bailey et al. 2008). Pacific ocean perch may be 562 actively navigating in the surface currents with vertical migration in response to tidal flow. Thus, the UTL 563 will perform genetic identification analysis of YOY Pacific ocean perch collected during our field work to 564 be used by the modeling component. This is required because transport models should be integrated with 565 the underlying genetic signal. The model should combine, genetic, oceanographic, and behavioral aspects 566 of the species to realistically characterize larval and YOY dispersal (e.g. Selkoe et al. 2008). In contrast, 567 sablefish are oviparous (as are pollock, Pacific cod, and arrowtooth) with a prolonged egg stage and 568 pelagic YOY with long and wide pectoral fins. These adaptations suggest that sablefish exhibit passive, 569 long-distance dispersal during their pelagic stage. Sablefish also migrate extensively during later life 570 stages and are considered a single population for all of Alaska (Heifetz and Fujioka 1991, Kimura et al. 571 1997). The presumed reliance on local retention mechanisms in Pacific ocean perch and long-distance 572 dispersal in sablefish suggest that these two species may be more sensitive to climate-driven variability in 573 transport. Being at the opposite ends of the retention/dispersal spectrum makes these species good 574 candidates for identifying key ecosystem variables related to transport that affect survival and recruitment 575 for our five species and would provide useful environmental covariates for single-species and multi-576 species stock assessment models.

577 Following development of the transport models, the modeling component should integrate data 578 and results from the proposed fieldwork and retrospective studies for at least three species of primary 579 interest that have strong trophic linkages (e.g. arrowtooth flounder) and serve as important prey for 580 seabirds and Steller sea lions (pollock and Pacific cod). The other focal fish species (e.g. Pacific ocean 581 perch, sablefish) may be included in the multi-species model if feasible. To compare multi-species 582 dynamics between the eastern and central areas we propose that separate models be developed for each 583 region. These models will synthesize the LTL information, including relevant recruitment indices 584 developed from retrospective analyses and from the transport models into a predictive model for different 585 climate regimes and different groundfish harvest strategies. The models would contribute both a 586 retrospective component for evaluating multi-species dynamics over the past several decades, as well as a 587 tool to conduct management strategy evaluations. For example, an existing multispecies age-structured 588 assessment (MSASA) model of the population dynamics of pollock, Pacific cod, and arrowtooth flounder 589 in the GOA (Van Kirk et al. in review) provides a possible template. This model accounts for species 590 interactions by estimating predation mortality as a flexible function of predator and prey abundances as 591 fitted to long time series of stomach-content data collected by AFSC. Due to data limitations a simpler 592 model such as a biomass dynamics model (e.g. BSIERP F2875) could be developed for the eastern 593 region. For prediction purposes, estimates of predation of juvenile fishes by seabirds and Steller sea lions 594 from existing data and from the proposed field work can be used to help quantify predation losses. To 595 account for variability at the lower trophic levels these models should use indices of climate, environment 596 and prey abundance, or YOY recruitment indices from the IERP field studies and retrospective analyses, 597 as covariates in the model to improve estimates of recruitment of the principal groundfish species that 598 serve as the focus of the model.

599 In retrospective mode, the models can be used to test specific hypotheses about the effects of 600 climate variability on recruitment of and trophic interactions among the focal species. A better 601 understanding of the critical mechanisms linking climate variability in the GOA ecosystem to UTL 602 variability will improve predictive models of future ecosystem changes, help identify management 603 strategies that are robust to such changes, and enhance stock assessment performance and ultimately 604 ecosystem health.

605

# 606 Application to Fisheries Management

607 Concept: Forecasting recruitment is an important aspect of determining sustainable future catch 608 levels based on current stock status of these five species of groundfish. Since different recruitment levels 609 can sustain different catches, the ability to forecast recruitment strengths will enable fisheries managers to 610 provide sound advice on catch levels. Additionally, stock assessments are strengthened if the mechanistic 611 links between the environment and recruitment are well understood and can be conveyed effectively to

612 stakeholders. The improved recruitment predictions, and a better understanding of recruitment dynamics,

613 developed from this study can be used to guide further stock assessment research including management

614 strategy evaluations, testing assumed variances, and sensitivity analyses. Products from this study will 615 solidify ongoing trophic level ecosystem models (Boldt 2008) by establishing predator, competitor, and

616 prey linkages. Additional applications to fishery management include the development of a framework to

- 617 evaluate benefits of marine protected areas, spatial fisheries management, and identification of essential 618 fish habitat. By increasing the mechanistic understanding of groundfish recruitment and improving the 619 data inputs for ecosystem models, this study will build the foundation to fulfill the mandate of ecosystem
- 620 based assessments.

621 Approach: To evaluate the consequences of potential management strategies, we request that the 622 modeling component construct fishing scenarios and use simulation exercises. By shifting human-623 controlled impacts (fishing pressure) on target populations, complex system responses can be observed 624 and assessed against management goals with regards to fisheries and stock conservation. A series of 625 simulations should be constructed in which fishing pressure is selectively increased, decreased, or left unchanged, for each species and across all species combinations. The multi-species model will be able to 626 627 display the complex population dynamics necessary to develop management strategies that include 628 predation considerations. This can be done using a variety of environmental and climate scenarios that 629 produce alternative indices of prey abundance, recruitment, and influential environmental variables.

630

# 631 Responsibilities Summary and Components Linkages

632 The initial spring grid survey that provides an estimate of initial spring conditions (oceanographic 633 conditions, phytoplankton and zooplankton community) for the egg/larvae pelagic stage is required of the 634 lower trophic level component. Concurrent measurements of oceanographic conditions, phytoplankton 635 and zooplankton community and sampling of forage species must occur during the summer and fall grid 636 surveys. The lower and middle trophic level proposals must include these products. Abundance, 637 distribution, and condition estimates of competitors to YOY (e.g. capelin, herring) in the nearshore 638 settlement stage must be included in the middle trophic level proposal. The ecosystem modeling 639 component must build the biophysical, transport, and multi-species models for each region that 640 incorporates results from the retrospective analysis and proposed fieldwork from the upper, middle, and 641 lower trophic levels.

Retrospective analysis and proposed fieldwork will inform all developed models which will in turn predict responses to climate change and anthropogenic forcing for all trophic levels. Products from proposed field work will build upon and calibrate existing data sets (Table 1) as well provide the necessary data to understand trophic linkages and ecosystem structure. Comparisons between eastern and central GOA model results will identify successful recruitment strategies of our five focal fish species and capture fundamental differences that make the eastern GOA more resilient to climate change.

648

# 649 D. <u>Project Responsiveness to NPRB Research Priorities or Identified Project Needs</u> 650

Three Overarching Premises of the NPRB Science Plan (NPRB 2005):

- 652 1) Natural variability in the physical environment influences trophic structure and overall productivity.
- Human impacts superimpose additional changes, including increased levels of contaminants, habitat
   alterations, and increased mortality of certain species that may initiate ecosystem change.
- A Natural and/or human-induced changes affect people who live and work in the region, forcing adaptation to the changing environment, ecosystem, and management scheme.

658 Our investigation contributes to evaluating the three overarching premises of the NPRB Science 659 Plan (NPRB 2005) and the overarching question of the GOAIERP Invitation which is based on these 660 premises. Addressing Premise 1, the project accomplishes an identification and initial quantification of 661 the major ecosystem processes that regulate the abundance, distribution, and condition of five 662 predominant upper trophic level fish species. Further to Premise 1, the project will sample young-of-the-663 year (YOY) in summer and fall, and concurrent surface measurements of TSNPZ (temperature, salinity, 664 nutrients, phytoplankton, zooplankton) to explore the temporal limits and geographic stability of the 665 critical time-space window for survival. This window is bounded by egg and larval development in the 666 offshore pelagic zone to YOY settlement in the nearshore zone. In addressing Premises 1 and 2, the 667 project explores trophic linkages from primary productivity upward and impacts of environmental 668 processes and fishing on productivities through modeling. Finally, as explained below, one of the major 669 accomplishments of this project addresses Premise 3 by better informing fishery managers and resource-670 dependent coastal communities of the consequences of climate change.

671 The collection of fish by surface trawl and synoptic subsurface TSNPZ in summer and fall builds upon the experience of, and is comparable to, that of NEP GLOBEC (Gulf of Alaska) and BASIS (Bering 672 673 Sea); however the transect-based sampling approach of NEP GLOBEC will be replaced by a survey grid 674 similar to the approach used in BASIS. The use of these standardized sampling methods has the added 675 benefit of supporting future comparisons between these two large marine ecosystems, Gulf of Alaska and 676 Bering Sea. The proposed field sampling is complementary to, but substantially different from the normal 677 National Marine Fisheries Service (NMFS) management functions that support commercially important 678 marine fish stock assessments in the same area. These include observation on fishing vessels, catch-679 accounting, and fishery-independent surveys using trawl and longline gear. The use of environmental 680 variables to help estimate parameters for single species stock assessment models is not a normal NMFS 681 function at present, however advising NMFS of how to do this would be one of the major 682 accomplishments of this project, and a major contribution to fishery management.

683 Retrospective screening of the many metrics related to cross-shelf transport, nutrients, 684 productivity, energy, predation and food production will establish baseline information on the ecosystem 685 processes governing the eastern and central GOA. This newly acquired information will aid the 686 evaluation of conditions required for successful recruitment for these fish species over three linked 687 processes within the critical window: offshore and nearshore productivity, larval and juvenile transport, 688 and settlement into suitable demersal habitat. Multi-species models using information derived from these 689 three linked processes establishes linkages between underlying environmental variability, recruitment and 690 top predator behavior. Comparison between the eastern and central GOA will identify successful 691 mechanisms for ecosystem resilience and ultimately health.

692 The products of this research program are directly relevant to federal and state management of 693 commercial and subsistence harvests of the five focal fish species, as well as to federal regulation of 694 seabirds and Steller sea lions that may limit harvests of these fish species. Access of commercial and 695 subsistence harvesters to fish is ultimately based on sustainable levels of harvest established by fish stock 696 assessments. Current fish stock assessments can be improved with more reliable estimates of recruitment 697 particularly in the most recent year classes when very little information exists in the assessment model. 698 Successful integration of relevant environmental time series into stock assessment models may potentially 699 increase efficiency in harvest decisions, improve geographic catch apportionment, and allow for more 700 reliable future harvest projections.

- 701
- 702 E. Program Management, Timeline and Milestones.
- 703

# 704 **Program Management:**

705 The research team will consist of Jamal Moss (AFSC) as the lead PI, Kalei Shotwell (AFSC), 706 Shannon Atkinson (UAF) and Franz Mueter (UAF) as PIs. Collaborators include Tom Gelatt (NMML), 707 Nadine Golden (USGS), Jon Heifetz (AFSC), Ron Heintz (AFSC), Jane Reid (USGS), Leslie Slater 708 (USFWS), and Mark Zimmermann (AFSC). Dr. Moss will be responsible for overall project management 709 and leading fisheries oceanographic surveys. Dr. Shotwell and Dr. Heifetz will lead the development of 710 habitat suitability maps and coordinate with the modeling component on recruitment and management 711 related issues. Dr. Mueter will lead retrospective spatial analysis on fish and seabird populations. Dr. 712 Atkinson will oversee project administration for UAF and participate in retrospective analyses. Ms. Reid

713 will provide expertise on usSEABED and Gulf of Alaska sediment distributions and Ms. Golden will grid 714 and integrate sediment and bathymetric data. Mr. Zimmermann will provide bathymetric expertise and a 715 large quality-tested dataset to the study. Dr. Heintz will lead bio-physical experiments on YOY Pacific 716 ocean perch in the wet laboratory. Ms. Slater will lead the seabird component, provide seabird food habits 717 and fledging rates from St. Lazaria Is. (rhinoceros auklet) and Barren Is. (tufted puffin) monitoring sites, 718 organize data on historic seabird sightings from the North Pacific Pelagic Seabird Database, and oversee 719 at-sea seabird and marine mammal observers.

720 A staff biologist will be hired at AFSC for 2.9 years to plan, coordinate, and lead fisheries 721 oceanographic field surveys; and assist with laboratory experiments and project logistics. Two post 722 doctoral students will be hired at AFSC. The first post doc will be hired for 2.75 years under the direction 723 of Dr. Heintz to develop RNA/DNA growth models and conduct laboratory experiments that will 724 ultimately generate bioenergetics model parameters for Pacific ocean perch. The second post doc will be 725 employed for 2 years under the direction of Drs. Heifetz and Shotwell to develop the habitat suitability 726 maps. A post doctoral student and a master's candidate will work under the direction of Dr. Mueter to 727 retrospectively model potential drivers influencing the early life survival of the five target marine species. Dr. Atkinson will oversee project administration for UA and assist Dr. Mueter with the retrospective 728 729 analysis. 730

# 731 **Research Platforms:**

732 A Stern-ramp trawler 100-160 feet in length will be chartered using NOAA Ship John N. Cobb 733 replacement funds (dedicated) for July/August fisheries oceanographic surveys 2011 and 2013. Federal 734 funding has been requested for these charters, and the AFSC will work with NPRB to develop acceptable 735 alternatives if the FY11 and 13 appropriations are different than expected, and 45 days at sea aboard the 736 Miller Freeman (dedicated) will be provided in kind by AFSC in 2013 for the fall survey. Bio-physical 737 research on YOY Pacific ocean perch will be conducted at the AFSC's wet laboratory in Juneau, AK 738 which has approximately 2,000 square feet of enclosed space, overhead electrical power and 1,200gpm of 739 seawater flow.

740

# 741 Timeline and Milestones:

742 A timeline detailing project milestones and accomplishments for the upper trophic level is 743 provided (Table 2). Deliverables for the entire project include a database of collected field data and 744 historical time series, retrospective analysis, habitat suitability models for all five focal species, transport 745 model for Pacific ocean perch and sablefish, multi-species model with environmental covariates, and the 746 publication of numerous manuscripts. Peer-reviewed manuscripts will include at least: 1) a retrospective 747 analysis of datasets pertaining to the potential drivers influencing the recruitment dynamics of five 748 predatory groundfish species; 2) summary of the seasonal abundance, distribution, and condition of five 749 predatory groundfish species 3) habitat suitability models for five predatory groundfish species; 4) the 750 utility of seabirds as indicators of YOY marine fish abundance and the implication of interannual 751 differences in prey field on chick condition; 5) a newly parameterized bioenergetics model for YOY 752 Pacific ocean perch with interannual differences in growth trajectory and implications for recruitment; 6) 753 the relationship of RNA/DNA rations with observed growth in the laboratory and field estimates of 754 instantaneous growth.

755

# 756 F. Data Management Plan

757

NPRB will be responsible for data management, and \$352,940 dollars are being held back from the UTL component to support the hiring of a database manager. The integrated data management component will be compatible with the NPRB requirements concerning data management and metadata submittal, so that results from the GOA IERP studies are integrated into the Alaska Marine Information System (AMIS) system (Johnson/NPRB 704). Data management will be a cooperative effort with UTL investigators to insure that the data is available on-line and will be compatible and comparable.

# 764765 G. <u>Outreach and Education Plan</u>

Ms. Bonita Nelson (AFSC) will serve as education and outreach coordinator (in kind) for the
project and coordinate activities with NPRB staff. Coordination will include developing strategies
through which investigators and collaborators can provide education and outreach activities through
NPRB's existing infrastructure. This will be accomplished with the assistance of the lead PI. A website
will be developed for the project by the database coordinator with the assistance of NPRB. This website
will include a description of the GOA IERP project and hyperlinks to web pages with supporting
information, data, and resources.

# 775 H. Coordination Strategy

Monthly meetings will be held either at UAF or AFSC to coordinate, plan future activities, and
disseminate information amongst the PIs and collaborators. A teleconference line will be available to
allow collaborators who are not located in Juneau, AK a chance to participate. Funding has been allocated
for each PI and collaborator to participate in an annual GOA IERP planning and coordination meeting in
Anchorage, AK. Graduate and post doctoral students will participate in fisheries oceanographic surveys
coordinated through the lead PI.

# I. Figures and Tables



- 814 Figure 1: Proposed systematic stations (yellow triangles) for UTL summer and fall sampling from Cape Ommaney to western edge of Kodiak Island. Also shown are the study area extent (dark shaded area) and seabird colony locations.



Figure 2: Gridded National Ocean Service bathymetry (top) and interpolated unpublished usSEABED
surficial sediment (bottom) from USGS analysis in the central GOA region.

Table 1: List of example databases to be considered for retrospective analysis tasks

<b>Retrospective Tasks</b>	Retrospective Tasks					
Compile data by trophi	Compile data by trophic level, develop indices					
Trophic Level	Dataset description					
Physical Environment	Sea surface temperature (AVHRR, ERSST), sea surface height (Aviso derived products), surface vector winds (NCAR/NCEP, QuikScat)					
Phytoplankton	Ocean color (SeaWiFS/MODIS), primary productivity					
Zooplankton	Seward line, SECM line zooplankton data (only previously cleaned electronically available data)					
Ichthyoplankton	FOCI egg and larvae counts (only previously cleaned electronically available data)					
Adult Fish Predators	ABL Sablefish survey for relative indices of abundance by area, NMFS Trawl survey (RACEBASE) biomass estimates by area					
Seabirds	Seabirds North Pacific Pelagic seabird data on pelagic distribution and abundance					
Analysis of spatial patt	erns (no temporal component)					
• Spatial analysis of representative datasets by trophic level for determining mean conditions and long-term patterns to include ocean color, fish predators, and seabirds						
Analysis of temporal trends by area following spatial analysis						
<ul> <li>Time series analysis of representative datasets by trophic level for identifying datasets most related to the recruitment of the five focal groundfish species</li> <li>Development of generalized additive model framework to include relevant predictor variables by focal species identified in time series analysis</li> </ul>						

875 Table 2: GOA IERP Timeline of upper trophic level component (UTL) activities

876	5
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	20	09		20	10			20	11			20	12			20	013			2014	
Task	3	4	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3
Planning and preparation																					
Retrospective analysis																					
Fisheries survey EGOA – Pilot year																					
Fisheries survey EGOA and WGOA & concurrent seabird and marine mammal surveys																					
Fish sample processing																					
Acquire Pacific ocean perch and run RNA/DNA collaboration studies																					
Habitat suitability analysis																					
Seabird data collection at colonies																					
Data analysis																					
Manuscript writing																					

J. <u>References</u>

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York, A. 1994. The population dynamics of northern sea lions, 1975-1985. Marine Mammal Science 10:38:51.

# Jamal H. Moss

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# **Education:**

Ph.D. 2006, College of Ocean and Fisheries Sciences, University of Washington M.S. 2001, College of Ocean and Fisheries Sciences, University of Washington B.A. 1997, Connecticut College

# **Professional Experience:**

AFSC Ocean Carrying Capacity Program: Research Fisheries Biologist, 2003-present North Pacific Fish Commission: U.S. Delegate and Rappeteur, 2007-present Fisheries and the Environment: Steering Committee Member, 2007-present Alaska Chapter of the American Fisheries Society: President, 2006-2007 American Fisheries Society: Strategic Planning Committee Member, 2008-present

# Awards:

Gates Millennium Scholar: Bill and Melinda Gates Foundation, 2001 Kasahara Award: American Institute of Fishery Research Biologists, 2007

# **Current Research:**

North Pacific Research Board: <u>Principal Investigator</u> 1) Estimation of source contribution and dispersal histories of Pacific cod recruits using otolith elemental composition
 Artic-Yukon Kuskokwim Sustainable Salmon Initiative: <u>Principal Investigator</u> 1)
 Parameterization of temperature and weight dependence of maximum consumption rate of juvenile chum salmon and development of a biogenetics model. 2) Assessment of regional and interannual juvenile chum salmon growth potential across the eastern Bering Sea shelf
 Global Ocean Ecosystem Dynamics: <u>Principal Investigator</u> 1) quantification of spatial variability in juvenile pink salmon growth potential across the Gulf of Alaska and implications

for production and survival

4. Bering-Aleutian Salmon International Survey: <u>Principal Investigator</u> 1) Quantification of interannual variability in trophic structuring of the epipelagic fish community in the Chukchi Sea and eastern Bering Sea. 2) Affects of climate on the ecology, production, and status of age-0 walleye pollock inhabiting the eastern Bering Sea

# Field and Laboratory Experience:

<u>Field Party Chief</u>: BASIS program FV *Northwest Explorer* (2006), FV *Sea Storm* (2003-2007), GLOBEC program FV *Great Pacific* 2004.

Research scientist: RV John N. Cobb (2003, 2006), RV Miller Freeman (2003) FV Sea Storm (2002-2007), FV Great Pacific (2001-2003)

<u>Supervisor</u>: Effect of temperature and weight dependence on sculpin consumption and metabolic rates (2000-2001), Foraging behavior and zooplankton consumption rates for juvenile pink and chum salmon (2005-2006), Temperature and weight dependence on consumption on maximum consumption rate of juvenile chum salmon (2006-2007)

# **Peer Reviewed Publications:**

Cross, A.D., D.A. Beauchamp, J.H. Moss, and K.W. Myers. In press. Interannual variability in

early marine growth, size-selective mortality, and marine survival for Prince William Sound pink salmon. Marine and Coastal Fisheries.

- **Moss, J.H.**, E.V. Farley, A.M. Feldmann, and J.N. Ianelli. *In press*. Spatial distribution, energetic status, and food habits of eastern Bering Sea age-0 walleye pollock. Transactions of the American Fisheries Society.
- **Moss, J.H.**, D.A. Beauchamp, A.D. Cross, E.V. Farley, J.H. Helle, and K.W. Myers. *In press*. Bioenergetic model estimates of interannual and spatial patterns in consumption demand and growth potential of juvenile pink salmon (*Oncorhynchus gorbuscha*) in the Gulf of Alaska. Deep Sea Research II.
- **Moss, J.H**., N. Hillgruber, C. Lean, J Mackenzie-Grieve, K. Mull. *In press*. Linking marine and freshwater domains to improve our understanding of variation in salmon abundance, AYK SSI Symposium Proceedings.
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- E.V. Farley, Jr., J.M. Murphy, **J.H. Moss**, A.M. Feldmann, and L.B. Eisner. *In press*. Marine ecology of western Alaska juvenile salmon. AYK SSI Symposium Proceedings.
- Cross, A.D., D.A. Beauchamp, K.W. Myers, and J.H. Moss. 2008. Early marine growth of pink salmon in Prince William Sound and the coastal Gulf of Alaska during years of low and high survival. Transactions of the American Fisheries Society 137:927-939.
- Armstrong, J.L., K.W. Myers, D.A. Beauchamp, N.D. Davis, R.V. Walker, J.L. Boldt, J.J. Piccolo, L.J. Haldorson, and J.H. Moss. 2008. Interannual and Spatial Feeding Patterns of Hatchery and Wild Juvenile Pink Salmon in the Gulf of Alaska in Years of Low and High Survival. Transactions of the American Fisheries Society 137:1299-1316.
- Farley, E.V. Jr., Moss, J.H., and R.J. Beamish. 2007. A review of the critical size, critical period hypothesis for juvenile Pacific salmon. North Pacific Anadromous Fish Commission
   Bulletin 4:311-317.
- Farley, E.V., Jr., J.M. Murphy, B.L. Wing, J.H. Moss, and A. Middleton. 2005. Distribution, migration pathways, and size of western Alaska juvenile salmon along the eastern Bering Sea shelf Alaska Fishery Research Bulletin 11(1):15-26.
- Farley, E.V., Jr., J.M. Murphy, B.L. M.L. Adkison, L.B. Eisner, J.H. Helle, J.H. Moss, and J.L. Nielsen. 2007. Early marine growth in relation to marine-stage survival rates for Alaska sockeye salmon (*Oncorhynchus nerka*). Fish Bulletin 105:121-130.
- Armstrong, J.L. J.L. Boldt, A.D. Cross, J.H. Moss, N.D. Davis, K.W. Myers, R.V. Walker, D.A. Beauchamp and L.J. Haldorson. 2005. Distribution, size and interannual, seasonal and diel food habits of the northern Gulf of Alaska juvenile pink salmon, *Oncorhynchus gorbuscha*. Deep-Sea Research II 52:247-265.
- Cross, A.D., D.A. Beauchamp, J.L. Armstrong, M. Blikshteyn, J.L. Boldt, N.D. Davis, L.J.
- Haldorson, J.H. Moss, K.W. Myers and R.V. Walker. 2005. Consumption demand of juvenile pink salmon in Prince William Sound and the coastal Gulf of Alaska in relation to prey biomass. Deep Sea Research II 52:347-370.

# S. KALEI SHOTWELL

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# **EDUCATION**

University of Oregon, Eugene, OR	BS	Biology, departmental honors	1998
University of Alaska Fairbanks, AK	PhD	Fisheries	2004

# **PROFESSIONAL EXPERIENCE**

2004- present:	<b>Fishery Research Biologist, Auke Bay Laboratories, TSMRI-AFSC-NMFS-</b> <b>NOAA</b> Responsibilities: Stock assessment, spatial analysis, and recruitment studies on Gulf of Alaska groundfish, benthic habitat assessment, chief scientist and participant on
	surveys
1999-2004:	<b>Graduate Research Assistant, University of Alaska Fairbanks</b> Research responsibilities: abundance estimation, forecasting with environmental information, simulation modeling, lecturing.
2003-2004:	<b>GIS Contractor, Auke Bay Laboratory AFSC-NMFS-NOAA</b> Responsibilities: Produce habitat GIS of multibeam mapped areas in Gulf of Alaska and analyze existing and new biological data sources by habitat type.

# SELECTED PUBLICATIONS

Shotwell, S.K., and M.D. Adkison. 2004. Estimating Indices of Abundance and Escapement of Pacific Salmon for Data-Limited Situations. Tran. Amer. Fish. Soc. 133: 538-558.

Shotwell, S.K. 2005. Utilizing Multi-Source Abundance Estimation and Climate Variability to Forecast Pacific Salmon Populations. Doctoral thesis. UAF – SFOS. pp. 156.

Shotwell, S.K., M.D. Adkison, and D.H. Hanselman. 2005. Accounting for Climate Variability in Forecasting Pacific Salmon in Data-Limited Situations. Alaska Sea Grant College Program. AK-SG-05-02. 871-900.

Shotwell, S.K., D.H. Hanselman, and D.M. Clausen. 2005 – 2008. Gulf of Alaska Rougheye Rockfish. *In* Stock assessment and fishery evaluation report for the groundfish resources of the GOA. NPFMC.

Hanselman, D.H., J. Heifetz, J.T. Fujioka, S.K. Shotwell, and J. N. Ianelli. 2007-2008. Gulf of Alaska Pacific ocean perch. *In* Stock assessment and fishery evaluation report for the groundfish fisheries of the GOA. NPFMC.

Stone, R.P. and S.K. Shotwell. 2007. State of the Deep Coral Ecosystems in the Alaska Region: Gulf of Alaska, Bering Sea, and the Aleutian Islands. Pp. 65-108. *In*: S. Lumsden, T. Hourigan, A. Bruckner, and G. Door (eds.), The state of deep coral ecosystems of the United States. NOAA Tech. Memo. CRCP-3, 365 pp.

Shotwell, S.K, J. Heifetz, D.L. Courtney, and H.G. Greene. 2008. Mapping marine benthic habitat in the GOA: geological habitat, fish distributions, and fishing intensity. In Marine Benthic Habitat Mapping. GeoHab Chapter 5.

# TRAINING AND FIELD EXPERIENCE

- NOAA Satellite Workshop for GIS, NESDIS-NOAA, 2006; Satellite Remote Sensing in Biological Oceanography, Cornell University, 2007; NMFS National Stock Assessment Workshops 2004, 2006; Advanced GIS Techniques for Fish Biologists, AFS, 2003; Population Dynamics with AD Model Builder, UAF, 2003.
- Certified NOAA Working Diver, PADI Divemaster, small boat operations, CPR/1<sup>st</sup> Aid, proficient in ArcGIS, R, AD Model Builder, MS office products
- 25+ surveys conducting research with hook-and-line, pots, trawls, gillnets, longlines, plankton nets, CTD's, tagging, hydroacoustics, submersibles, and scuba.

# Abbreviated Curriculum Vitae

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# **Professional Experience**

Professor, University of Alaska Fairbanks, School of Fisheries and Ocean Sciences 2000-present Professor of Marine Science, University of Alaska Fairbanks, and Senior Scientist Alaska SeaLife Center 2000-2007

Associate Researcher, Hawaii Institute of Marine Biology, University of Hawaii 1991- 2000 Affiliate Researcher, Hawaii Institute of Marine Biology, University of Hawaii 1989-1991, Experimental Scientist, Commonwealth Scientific and Industrial Research Organization (CSIRO), Division of Animal Production, Western Australia 1986-1988

# Administrative Experience

- Principal Investigator, approximately \$41.3 million of Fish and Wildlife Service and National Marine Fisheries Service grants to Alaska SeaLife Center 2000-2008.
- Principal Investigator, Alliance for Coastal Technologies, NOAA funded national partnership to promote technology development. May 2005 \$75,000; May 2006 \$300,000, May 2007 \$122,000; 2008 \$110,000.
- Acting Project Manager, National Fish and Wildlife Foundation grant to conduct research on Steller sea lions. Feb-Dec 2000 \$650,000

# **Teaching Experience**

24 MSc and PhD students whose committees I have or am currently chairing,

26 MSc and PhD students whose committees I am a member,

11 undergraduate students who have done directed research,

5 students to whom I have served as a mentor (3 veterinary students, 1 foreign veterinarian, and 1 liberal arts student)

# Awards

1. U.S. Dept of Commerce, NOAA. Marine Environmental Stewardship Award for Marine Debris Removal Project, Northwestern Hawaiian Archipelago. 1998.

2. Vice President Al Gore's National Performance Review- Silver Hammer Award for Marine Debris Removal Project, Northwestern Hawaiian Archipelago. 1999.

3. Sigma Xi the Scientific Research Society devoted to the promotion of Research Science, duly elected a member by the Alaska Chapter of the Society. 2003.

# **Publications**

80 Peer-reviewed publications in international journals, 12 Book Chapters and Editorships, 130 Conference abstracts

# Sample recent publications

1. Atkinson, S., Calkins, D., Burkanov, V., Castellini, M., Hennen, D., and Inglis S. (2008a) Impact of changing diet regimes on Steller sea lion body condition. Mar. Mamm. Sci. 24(2): 276-289 2. Atkinson, S., DeMaster, D.P., and Calkins, D.G. (2008b) Anthropogenic causes of the Steller sea lion decline and their threat to recovery. Mammal. Rev. 39(1): 1-18

3. Maniscalco, JM., Matkin, CO., Maldini, D., Calkins, DG., **Atkinson, S**. (2007) Assessing killer whale predation on Steller sea lions from field observations in Kenai Fjords, Alaska. Mar. Mamm. Scie. 23 (2): 306-321

4. Parker, P., Harvey, J.T., Maniscalco, J.M., and **Atkinson, S.** (2008) Pupping and site fidelity among individual Steller sea lions (*Eumetopias jubatus*) at Chiswell Island, Alaska. C. J. Zoolog. 86(8): 862-833

5. Maniscalco, J.M., Calkins, D.G., Parker, P. and **Atkinson, S.** (2008) Causes and extent of natural mortality among Steller sea lion (*Eumetopias jubatus*) pups. Aquat. Mammal. 34(3): 277-287

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# **Education**

Ph.D.	University of Alaska Fairbanks (1999).	Major: Fisheries Oceanography
<u>M.S.</u>	University of Alaska Fairbanks (1998).	Major: Statistics
<u>M.S.</u>	University of Alaska Fairbanks (1992).	Major: Biological Oceanography
Vordip	lom (B.S.) Rhino-Westphalian Technical	University, Aachen, Germany. Major: Biology

# Employment

2008-present	Assistant Professor, Juneau Center, School of Fisheries and Ocean Sciences,
	University of Alaska Fairbanks, Alaska, USA
1999-present	Statistical consultant and owner, Sigma Plus, Statistical Consulting Services
2006-2007	Adjunct faculty, School of Fisheries and Ocean Sciences, University of Alaska
	Fairbanks, Alaska, USA
2002-2005	Research Associate / Research Scientist, Joint Institute for the Study of the
	Atmosphere and Ocean, University of Washington, Seattle, Washington, USA
1999-2001	Post-doctoral fellow, School of Resource and Environmental Management, Simon
	Fraser University, Burnaby, BC, Canada
1995-99	Research Assistant, Institute of Marine Science, University of Alaska, Fairbanks,
	AK
1993-94 <u>Resear</u>	ch Technician, Juneau Center, School of Fisheries and Ocean Science, University of
	Alaska, Fairbanks, Juneau, Alaska, USA

# **Professional Services**

2008-present Co	o-chair, ESSAS Working Group 4: Climate Effects on Upper Trophic Levels
2007-present	Guest Editor, Special Issue of ICES Journal of Marine Science
2005-2007 Scie	ntific Steering Committee, PICES/ICES Early Career Scientist Conference
2003-present	Scientific and Statistical Committee. North Pacific Fisheries Management Council
2003-2004	SCOR/IOC Working Group 119: Quantitative Ecosystem Indicators for Fish Mgmt
2003	North Pacific Ecosystem Status Working Group

# **Current activities**

Retrospective Analysis of pattern in productivity of fish, seabirds and marine mammals in the Eastern Bering Sea Ecosystem - NPRB BSIERP Project F3868

Correlative multi-species biomass dynamics model, Eastern Bering Sea – NPRB BSIERP Project F2875

# Most relevant publications (Gulf of Alaska)

Mueter, F.J., Broms, C., Drinkwater, K.F., Friedland, K.D., Hare, J.A., Hunt Jr., G.L., Melle, W., and Taylor, M. 2009. Ecosystem responses to recent oceanographic variability in highlatitude Northern Hemisphere ecosystems. *Prog. Oceanogr.* accepted.

Mueter, F.J., Boldt, J., Megrey, B.A., and Peterman, R.M. (2007). Patterns of covariation in productivity among Northeast Pacific fish stocks. *Can. J. Fish. Aquat. Sci.*64: 911-927. Mueter, F.J., and Megrey, B.A. (2006). Maximum productivity estimates for the groundfish complexes of the Gulf of Alaska and Eastern Bering Sea / Aleutian Islands. *Fish. Res.* 81:189-201.

Mueter, F.J. (2004) Gulf of Alaska. *In: Marine Ecosystems of the North Pacific*. PICES Special Publication 1, pp.153-175.

Mueter, F.J., and Norcross, B.L. (2002). Spatial and temporal patterns in the demersal fish community on the shelf and upper slope regions of the Gulf of Alaska. *Fish. Bull.* 100:559-581

# **Other publications**

Mueter, F.J., and M.A. Litzow. (2008). Warming climate alters the demersal biogeography of a marginal ice sea. *Ecol. Appl.* 18: 309-320.

Aydin, K., and Mueter, F.J. 2007. The Bering Sea - A dynamic food web perspective. *Deep Sea Research II* 54: 2501-2525.

Mueter, F.J., Ladd, C., Palmer, M.C., and Norcross, B.L. (2006). Bottom-up and top-down controls of walleye pollock (*Theragra chalcogramma*) on the eastern Bering Sea shelf. *Prog. Oceanogr.* 68:152-183.

Mueter, F.J., Pyper, B.J. and Peterman, R.M. (2005) Relationships between coastal ocean conditions and survival rates of Northeast Pacific salmon at multiple lags. *Trans. Am. Fish. Soc.* 134:105-119.

Mueter, F.J. and Megrey, B.A. (2005). Distribution of population-based indicators across multiple taxa to assess the status of Gulf of Alaska and Bering Sea groundfish communities. *ICES J. Mar. Sci.* 62: 344-352

# **Professional memberships**

American Fisheries Society; American Statistical Association, American Association for the Advancement of Science

# List of collaborators in the last 48 months

Kerim Aydin (NOAA-AFSC), Jennifer L. Boldt (AFSC), Stephen R. Braund, Cecilie Broms (IMR, U Bergen), Are Dommasnes (IMR), Sherri Dressel (ADF&G), Ken F. Drinkwater (IMR), Jannike Falk-Petersen (IMR), Kevin D. Friedland (NOAA-NEFSC), Sarah Gaichas (AFSC), Harald Gjøsæter (IMR), Jonathan A. Hare (NEFSC), George L. Hunt Jr. (UW), Gordon H. Kruse (UAF), Jason S. Link (NOAA-NEFSC), Mike Litzow (AFSC), Bernard Megrey (AFSC), Webjørn Melle (IMR, U Bergen), Larry L. Moulton (MJM), Stephen M. Murphy (ABR, Inc.), William Overholtz (NEFSC), Randall M. Peterman (SFU), Georg Skaret (IMR), William Stockhausen (AFSC), Maureen Taylor (NEFSC).

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PROFESSIONAL PREPARATION:

Ph.D., 1997 (Oceanography), University of Alaska M.Sc., 1974 (Oceanography), University of Alaska B.Sc., 1971 (Oceanography), University of Washington

APPOINTMENTS:

2008 – Present Research Faculty

1989 - 2008 Research Associate

1974 - 1989 Research Technician

PUBLICATIONS (five most relevant + five related):

- Coyle, K. O., Pinchuk, A. I., Eisner, L. B., Napp, J. M. 2008. Zooplankton species composition, abundance and biomass on the eastern Bering Sea shelf during summer: the potential role of water column stability and nutrients in structuring the zooplankton community. Deep Sea Res. II. 55: 1775-1791.
- Coyle, K. O., Konar, B., Blanchard, A., Highsmith, R. C., Carroll, J., Carroll, M., Denisenko, S. G., Sirenko, B. I. 2007. Potential effects of temperature on the benthic infuanal community on the southeastern Bering Sea shelf: Possible impacts of climate change. Deep-Sea Research II, 54: 2885–2905
- Coyle, K. O., Bluhm, B., Konar, B., Blanchard, A., Highsmith, R. C. 2007. Amphipod prey of gray whales in the northern Bering Sea: comparison of biomass and distribution between the 1980s and 2002 - 2003. Deep Sea Res. II 54: 2906 – 2918
- Coyle, K. O. 2005. Zooplankton distribution, abundance and biomass relative to water masses in eastern and central Aleutian Island passes. Fish. Oceanogr. 14 (Suppl. 1): 77 92.
- Coyle, K. O. and A. I. Pinchuk. 2005. Seasonal cross-shelf distribution of major zooplankton taxa on the northern Gulf of Alaska shelf relative to water mass properties, species depth preferences and vertical migration behavior. Deep Sea Res. II. 52: 193 216.
- Coyle, K. O. and A. I. Pinchuk. 2003. Annual cycle of zooplankton abundance, biomass and production on the northern Gulf of Alaska shelf, October 1997 through October 2000. Fish. Oceanogr. 12: 327-338
- Coyle, K. O. and A. I. Pinchuk. 2002. The abundance and distribution of euphausiids and zeroage pollock on the inner shelf of the southeast Bering Sea near the Inner Front in 1997-1999. Deep Sea Res. II, 49: 6009-6030.
- Coyle, K. O. and A. I. Pinchuk. 2002. Climate-related differences in zooplankton density and growth on the inner shelf of the southeastern Bering Sea. Prog. Oceanogr. 55: 177-194.
- Coyle, K. O. and G. L. Hunt. 2000. Seasonal differences in the distribution, density and scale of zooplankton patches in the upper mixed layer near the western Aleutian Islands. *Plankton. Biol. Ecol.*, 47: 31-42.

Coyle, K. O. 1998. *Neocalanus* scattering layers near the western Aleutian Islands. *J. Plank. Res.* 20(6): 1189-1202.

COLLABORATORS AND OTHER AFFILIATIONS:

Collaborators (last 48 months):

George Hunt, Dept. of Ecology and Evolutionary Biology, University of California Irvine, Irvine Gordie Swartzman, Applied Physics Laboratory, University of Washington. Jeff Napp, National Marine Fisheries Service, NOAA, Seattle Phyllis Stabeno, Pacific Marine Environmental Lab, NOAA, Seattle Steve Zeeman, University of New England, Biddeform, Maine Sue Moore, National Marine Mammal Laboratory, NOAA, Seattle Alexei Pinchuk, Institute of Marine Science, University of Alaska Tom Weingartner, Institute of Marine Science, University of Alaska Terry Whitledge, Institute of Marine Science, University of Alaska Russell Hopcroft, Institute of Marine Science, University of Alaska Ray Highsmith, National Institute for Undersea Science and Technology, University of Mississippi Bodil Bluhm, Institute of Marine Science, University of Alaska Brenda Konar, Institute of Marine Science, University of Alaska Al Herman, PMEL, NOAA, Seattle Washington Michael J. Dagg (LUMCON) Sarah Hinckley, National Marine Fisheries Service, NOAA, Seattle Mike Carroll, Akvaplan Niva, Tromso, Norway Jolynn Carroll, Akvaplan Niva, Tromso, Norway Stanoslav Denisenko, Zoological Institute, Russian Academy of Sciences, St. Petersberg Boris Sirenko, Zoological Institute, Russian Academy of Sciences, St. Petersberg

Graduate Advisors:

M.Sc. Advisor: Rita Horner (University of Washington); Committee Members: Vera Alexander (University of Alaska Fairbanks), Mirabelle Allen (Deceased).

PhD Advisor: Ted Cooney (University of Alaska retired); Committee Members: Ray Highsmith (University of Mississippi), Tom Royer (Old Dominion University), Ed Murphy (University of Alaska), John Goering (University of Alaska, retired).

Post-Doctoral Advisors: NONE

Served on the following student' s committees: Stacy Smith, Alexei Pinchuk, William Williams, Georgina Blamey, Hui Liu, Leandra deSousa, Charles Adams, Lei Guo, Marcus Janout, Jennifer Bolt, Xian Wang, Laura Slater, Amanda Byrd, Tracy Merrill, Jennifer Bell, Andreas Winter, Seth Danielson, Jennifer Questel, Martin Schuster